

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 213244US0

First Inventor or Application Identifier Michinori NISHIKAWA, et al.

Title CHEMICAL MECHANICAL POLISHING STOPPER FILM, PROCESS FOR PRODUCING THE SAME, AND METHOD OF CHEMICAL MECHANICAL POLISHING

Assignee Name: JSR CORPORATION

Assignee Address: 11-24, Tsukiji 2-chome, Chuo-ku, Tokyo, Japan

11040 U.S. PTO
09/08/2001
08/27/01



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 15923 21 U.S.P.T.O. ■ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

Total Sheets 83

- Specification

Total Sheets 1

- Drawing
(35 U.S.C. 113)

4. ■ Oath or Declaration Total Pages 4
 a. ■ Newly executed (original or copy)
 b. □ Copy from a prior application (37 C.F.R. §1.63(d))
 (for continuation / divisional w/ box 17 completed)
 i. □ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in
 the prior application, see 37 C.F.R. §1.63(d)(2) and
 1.33(b).
 5. □ CD-ROM or CD-R in duplicate, large table or Computer
 Program (Appendix)
 6. □ Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. □ Computer Readable Form (CRF)
 b. Specification or Sequence Listing on:
 i. □ CD-ROM or CD-R (2 copies); or
 ii. □ Paper
 c. □ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. □ Assignment Papers (cover sheet & document(s))
 8. ■ Application Data Sheet. See 37 CFR 1.76
 9. □ 37 C.F.R. §3.73(b) Statement □ Power of Attorney
 10. □ English Translation Document (if applicable)
 11. □ Information Disclosure Statement (IDS)/PTO-1449 □ Copies of IDS
 Citations
 12. □ Preliminary Amendment
 13. ■ White Advance Serial No. Postcard
 14. □ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
 15. □ Applicant claims small entity status.
 See 37 CFR 1.27
 16. □ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- This application is a Continuation Division Continuation-in-part (CIP)
 of application Serial No. Filed on
 Which was published in English
 Which was not published in English
 This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Registration Number 21,124

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Michinori NISHIKAWA, et al.

SERIAL NO: New Application

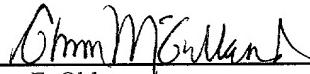
FILING DATE: Herewith

FOR: CHEMICAL MECHANICAL POLISHING STOPPER FILM, PROCESS FOR PRODUCING THE SAME,
AND METHOD OF CHEMICAL MECHANICAL POLISHING**FEE TRANSMITTAL**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	9 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$80 =	\$80.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$790.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$790.00

- Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- A check in the amount of **\$790.00** to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

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